

Workshop on Strategic Trade Controls in the Asia-Pacific

Taipei, Taiwan | December 5-6, 2017

Pacific Forum CSIS
Institute of International Relations, National Chengchi University

AGENDA

Monday, December 4, 2017

18:30 **Opening dinner**

Tuesday, December 5, 2017

8:30 **Registration**

8:55 **Welcome Remarks**

9:00 **Session 1: Proliferation networks**

This session will focus on proliferation networks and their impact on national strategic trade control programs. What are proliferation networks? How extensive are these networks in Asia? What are the key vulnerabilities? To what extent are “free zones” part of the problem? What are the indicators used to detect these networks? What can be done to mitigate the impact of the networks on national efforts to control the transfer of strategic goods?

Speakers: Aaron Arnold, Catherine Dill

10:30 **Coffee Break**

10:45 **Session 2: Proliferation financing**

This session will examine how WMD proliferators use financial networks. What distinguishes financing of proliferation from other financial network crimes such as money laundering and terrorist financing? Is this distinction useful? Are there overlaps? What are the most common financial transactions used by proliferators? What measures are available to counter these methods and to what extent have states integrated them into their STC programs? What measures would enhance information exchanges between STC programs and agencies involved in countering proliferation financing?

Speakers: Andrea Viski, Kentaro Ide

12:15 **Lunch**

13:30 **Session 3: UNSC Resolution 1540, strategic trade controls, and trade sanctions**

This session the relationship between trade controls programs developed to ensure compliance with UN Security Council Resolution 1540, traditional export control systems to control dual-use technology, and trade sanctions enforcement. How and to what extent are the objectives of these systems different? Do the different objectives of the systems create complications for licensing and detection agencies? To what extent can licensing systems and Customs clearance procedures for controlling strategic goods be used to implement trade sanctions?

Speakers: Rajiv Nayan, Bryan Early

- 15:00 **Coffee Break**
- 15:30 **Session 4: Controlling research and technology transfers**
This session will focus on controlling the transfer of technology as part of a national STC program. What is the relationship between research and trade controls? What criteria determine if a technology should be controlled as dual use? What alternative approaches to governing intangible technology transfers have been developed? What can be done to improve governance at research institutions? What are the challenges and emerging challenges in controlling sensitive technology?
Speakers: Quentin Michel, Mi-Yong Kim, Yi-Yuan Su
- 17:00 **Session Adjourns**
- 18:30 **Dinner**

Wednesday, December 6, 2017

- 09:00 **Session 5: Promoting industry compliance with strategic trade control requirements**
This session will explore ways to promote better relations between government agencies responsible for STC management and private sector companies involved in manufacturing and the transfer of strategic goods. What resources are available to help companies build internal compliance programs? What incentives are most effective in encouraging the establishment of an internal compliance program? What are best practices for managing ICPs for subsidiary companies and contract manufacturers? How different are ICPs for manufacturers versus brokers or transshipment companies?
Speakers: Kolja Brockmann, Fu-Kuo Liu
- 10:30 **Coffee Break**
- 10:45 **Session 6: Implementing strategic trade controls in Southeast Asia**
This session will examine the status of STC implementation in Southeast Asia, notably in the least developed countries. What are the major impediments to the development of national STC programs in the region? How can Southeast Asian countries be encouraged and assisted to implement STC? Is there evidence for economic benefits associated with adopting a national STC program? Can multinational companies facilitate adoption of STC programs? What capacity building programs are available to facilitate implementation? What role can Taiwan companies play in promoting and implementing strategic trade controls in Southeast Asia?
Speakers: George Tan, Masaaki Takashima, Mohamed Shahabar Abdul Kareem
- 12:00 **Wrap-up and next steps**
- 12:30 **Lunch**
- 13:30 **Meeting Adjourns**