

Seminar on Strategic Trade Controls in Southeast Asia

**Hilton Hanoi Opera, Vietnam
July 24-26, 2018**

AGENDA

Tuesday, July 24, 2018

18:30 **Opening Dinner**
Ba Mien Restaurant, Hilton Hanoi Opera (M Floor)

Wednesday, July 25, 2018

8:45 **Registration**
Ballroom B, Hilton Hanoi Opera (G Floor)

9:00 **Welcome Remarks**
Carl Baker

9:15 **Session 1: Strategic Trade Control System Overview and National Legislation**
This session will first provide a general overview of a national strategic trade control system. What are the main objectives of strategic trade controls? What are the core components of an effective strategic trade controls system? What are the benefits of implementing strategic trade controls? What is the relationship between trade controls and trade facilitation? What fundamental legal authorities are required for an effective strategic trade control system?
Presenter: Seema Gahlaut

10:30 **Coffee Break**

10:45 **Session 2: Licensing, Enforcement, and Industry Outreach**
This session will examine the core elements related to controlling strategic goods through a licensing system. What are the core components of a licensing system? How are control lists developed and used? What are the key legal and regulatory requirements for effective enforcement? What are the key agencies involved in licensing, detection, and enforcement? Why is interagency coordination necessary? Why is industry outreach important in strategic trade controls? What incentives are most effective in encouraging the establishment of an internal compliance program? What are the good practices for government outreach to industry?
Presenters: Mi-Yong Kim, Lu Shu-Hua

12:00 **Lunch**

- 13:15 **Session 3: UNSC Resolution 1540 and Strategic Trade Controls**
This session explores the relationship between trade controls programs developed to ensure compliance with UN Security Council Resolution 1540, traditional export control systems to control dual-use technology, and trade sanctions enforcement. How and to what extent are the objectives of these systems different? To what extent can licensing systems and Customs clearance procedures for controlling strategic goods be used to implement trade sanctions? What role should the multilateral export control regimes play in implementing UNSCR 1540?
Presenter: Carl Baker
- 14:15 **Session 4: STC Systems -- Ideal vs. Existing Models**
This session will review aspects of existing STC systems globally to weigh the pros and cons of different models. Is separate trade control legislation necessary or can the necessary legal authorities be integrated into existing legislation? What are the benefits and drawbacks of having HS (Customs) code-based licensing system? Is it preferable to have a single licensing authority or multiple licensing authorities? What criteria should be used to determine the most appropriate authority in each country? Is it better to prioritize control lists or catch-all controls? What kind of supporting legislation is most effective in implementing STC? How can regional or international STC objectives or updates be (regularly) incorporated into national STC practices?
Presenters: Kyaw Si Thu, Kim Hyuk, Hyun Yonghwan
- 15:30 **Coffee Break**
- 15:45 **Session 5: Update on Assistance and Evaluation Programs**
This session will provide an update on the various programs available to support countries' development and enhancement of STC. Tentatively these include the Stimson Center's Partnerships in Proliferation Prevention Program; UNSCR 1540 assistance and approved matrices; the Verification Research, Training and Information Centre (VERTIC); and the UN Financial Action Task Force (FATF). What capacity building programs do the United States and the European Union provide to facilitate STC implementation in other countries?
Presenter: Seema Gahlaut
- 17:00 **Session Adjourns**
- 18:30 **Dinner**

Thursday, July 26, 2018

- 9:00 **Session 6: STC Updates from United States and European Union**
This session will address recent STC changes in the United States and Europe, including Export Control Reform in the United States and European Commission's proposal to modernize the European Union export control system. What effect will the introduction of controls on surveillance and

intrusion technologies in both places have in Asia? What challenges do the United States and EU member states face in STC implementation?

Presenters: Crystal Pryor (US), Lia Caponetti (EU)

- 9:45 **Session 7: STC Updates from Philippines, Thailand, and Vietnam**
This session will provide an update of the STC systems in the Philippines, Thailand, and Vietnam. What national legislation and licensing, enforcement, and industry outreach programs are in place? What challenges remain in STC implementation? What are the next steps? What economic/trade facilitation benefits have been realized? What are some examples of successful prosecutions of STC violations? What capacity building programs are available to facilitate implementation?
Presenters: Janice Dimayacyac (Philippines), Pichsinee Sari (Thailand), Sivachart Chattrastri (Thailand), Nguyen Thi Viet Nga (Vietnam)
- 10:45 **Coffee Break**
- 11:00 **Session 8: STC Updates from Myanmar, Cambodia, and Laos**
This session will provide an update of the STC systems in Myanmar, Cambodia, and Laos. What national legislation and licensing, enforcement, and industry outreach programs are in place? What challenges remain in STC implementation? What trade facilitation benefits have been realized? What are some examples of successful prosecutions of STC violations? What capacity building programs are available to facilitate implementation?
Presenters: Phone Myint Naing (Myanmar), Vantha Hoy (Cambodia), Soudachanh Darounphanh (Laos)
- 12:00 **Lunch**
- 13:30 **Session 9: Strategic Trade Controls and Regional Economic Integration**
This session will provide an update on implementation of the ASEAN Economic Community (AEC) and the National Single Window (NSW) initiatives in Southeast Asia. What are the prospects for strategic trade management principles to be incorporated into the NSW system? What are the obstacles related to strategic trade controls in the context of the AEC and NSW? What are the viable options to address those obstacles? How can strategic trade controls be integrated into processes of realizing the ASEAN Community Vision 2025?
Presenter: George Tan
- 14:45 **Coffee Break**
- 15:00 **Session 10: Wrap-Up, Concluding Remarks, and Next Steps**
This session will summarize the meeting's key findings and reflect on next steps for better adoption and implementation of strategic trade controls by Southeast Asian states.
- 15:30 **Meeting Adjourns**